If you plan to submit a bid directly to the Department of Transportation

PREQUALIFICATION

Any contractor who desires to become pre-qualified to bid on work advertised by IDOT must submit the properly completed pre-qualification forms to the Bureau of Construction no later that 4:30 p.m. prevailing time twenty-one days prior to the letting of interest. This pre-qualification requirement applies to first time contractors, contractors renewing expired ratings, contractors maintaining continuous pre-qualification or contractors requesting revised ratings. To be eligible to bid, existing pre-qualification ratings must be effective through the date of letting.

REQUESTS FOR AUTHORIZATION TO BID

Contractors wanting to bid on items included in a particular letting must submit the properly completed "Request for Proposal Forms and Plans & Request for Authorization to Bid" (BDE 124) and the ORIGINAL "Affidavit of Availability" (BC 57) to the proper office no later than 4:30 p.m. prevailing time, three (3) days prior to the letting date.

WHO CAN BID?

Bids will be accepted from only those companies that request and receive written **Authorization to Bid** from IDOT's Central Bureau of Construction.

WHAT CONSTITUTES WRITTEN AUTHORIZATION TO BID?: When a prospective prime bidder submits a "Request for Proposal Forms and Plans" he/she must indicate at that time which items are being requested For Bidding purposes. Only those items requested For Bidding will be analyzed. After the request has been analyzed, the bidder will be issued a **Proposal Denial and/or Authorization Form**, approved by the Central Bureau of Construction, that indicates which items have been approved For Bidding. If **Authorization to Bid** cannot be approved, the **Proposal Denial and/or Authorization Form** will indicate the reason for denial.

ABOUT AUTHORIZATION TO BID: Firms that have not received an authorization form within a reasonable time of complete and correct original document submittal should contact the department as to status. This is critical in the week before the letting. These documents must be received three days before the letting date. Firms unsure as to authorization status should call the Prequalification Section of the Bureau of Construction at the number listed at the end of these instructions.

WHAT MUST BE INCLUDED WHEN BIDS ARE SUBMITTED?: Bidders need not return the entire proposal when bids are submitted. That portion of the proposal that must be returned includes the following:

- 1. All documents from the Proposal Cover Sheet through the Proposal Bid Bond
- 2. Other special documentation and/or information that may be required by the contract special provisions

All proposal documents, including Proposal Guaranty Checks or Proposal Bid Bonds, should be stapled together to prevent loss when bids are processed by IDOT personnel.

ABOUT SUBMITTING BIDS: It is recommended that bidders deliver bids in person to insure they arrive at the proper location prior to the time specified for the receipt of bids. Any bid received at the place of letting after the time specified will not be accepted.

WHO SHOULD BE CALLED IF ASSISTANCE IS NEEDED?

Questions Regarding

Call

Prequalification and/or Authorization to Bid

217/782-3413

Preparation and submittal of bids

217/782-7806

Mailing of plans and proposals

217/782-7806

ADDENDUMS TO THE PROPOSAL FORMS

Planholders should verify that they have received and incorporated the revisions prior to submitting their bid. If plans/proposals were requested prior to the date of the addendum, an addendum package should have been mailed to the planholder. If plans/proposals were ordered after the date of the addendum, the plans/proposal package should already include all revisions and an identifying addendum sheet immediately after the proposal cover sheet. Failure by the bidder to include an addendum could result in a bid being rejected as irregular. If a planholder has not received an addendum within 5 days after the addendum date noted, they should call 217-782-7806.

214

BIDDERS NEED NOT RETURN THE ENTIRE PROPOSAI (See instructions inside front cover)

	RETURN WITH BID
Ī	Proposal Submitted By
L	Name
L	Address
ľ	
	City

Letting June 13, 2003

NOTICE TO PROSPECTIVE BIDDERS

This proposal can be used for bidding purposes by only those companies that request and receive written AUTHORIZATION TO BID from IDOT's Central Bureau of Construction.

(SEE INSTRUCTIONS ON THE INSIDE OF COVER)

(SEE INSTRUCTIONS ON THE INSIDE OF COVER)

Notice To Bidders, Specifications, Proposal, Contract and Contract Bond



Springfield, Illinois 62764

Contract No. 66345
GRUNDY County
Section D-3 STORM SEWER MAINT. 2003-1
Route FAS 301
District 3 Construction Funds

PLEASE MARK THE APPROPRIATE BOX BELOW:
☐ A <u>Bid</u> <u>Bond</u> is included.
☐ A <u>Cashier's Check</u> or a <u>Certified Check</u> is included.

Prepared by

S

Checked by

INSTRUCTIONS

ABOUT IDOT PROPOSALS: All proposals issued by IDOT are potential bidding proposals. Each proposal contains all Certifications and Affidavits, a Proposal Signature Sheet and a Proposal Bid Bond required for Prime Contractors to submit a bid after written **Authorization to Bid** has been issued by IDOT's Central Bureau of Construction.

HOW MANY PROPOSALS SHOULD PROSPECTIVE BIDDERS REQUEST?: Prospective bidders should, prior to submitting their initial request for plans and proposals, determine their needs and request the total number of plans and proposals needed for each item requested. There will be a nonrefundable charge of \$15 for each set of plans and specifications issued.

WHO CAN BID?: Bids will be accepted from only those companies that request and receive written **Authorization to Bid** from IDOT's Central Bureau of Construction. To request authorization, a potential bidder <u>must complete and submit Part B of the Request for Proposal Forms and Plans & Request for Authorization to Bid form (BDE 124) and submit an original Affidavit of Availability (BC 57).</u>

WHAT CONSTITUTES WRITTEN AUTHORIZATION TO BID?: When a prospective prime bidder submits a "Request for Proposal Forms and Plans" he/she must indicate at that time which items are being requested For Bidding purposes. Only those items requested For Bidding will be analyzed. After the request has been analyzed, the bidder will be issued a Proposal Denial and/or Authorization Form, approved by the Central Bureau of Construction, that indicates which items have been approved For Bidding. If Authorization to Bid cannot be approved, the Proposal Denial and/or Authorization Form will indicate the reason for denial. If a contractor has requested to bid but has not received a Proposal Denial and/or Authorization Form, they should contact the Central Bureau of Construction in advance of the letting date.

WHAT MUST BE INCLUDED WHEN BIDS ARE SUBMITTED?: Bidders need not return the entire proposal when bids are submitted. That portion of the proposal that must be returned includes the following:

- 1. All documents from the Proposal Cover Sheet through the Proposal Bid Bond
- 2. Other special documentation and/or information that may be required by the contract special provisions

All proposal documents, including Proposal Guaranty Checks or Proposal Bid Bonds, should be stapled together to prevent loss when bids are processed by IDOT personnel.

ABOUT SUBMITTING BIDS: It is recommended that bidders deliver bids in person to insure they arrive at the proper location prior to the time specified for the receipt of bids. Any bid received at the place of letting after the time specified will not be accepted.

Call

WHO SHOULD BE CALLED IF ASSISTANCE IS NEEDED?

Questions Regarding

Questions regarding	Juli
Description than an allow Authorization to Did	047/700 0440
Prequalification and/or Authorization to Bid	217/782-3413
Preparation and submittal of bids	217/782-7806
Mailing of plans and proposals	217/782-7806



TO THE DEPARTMENT OF TRANSPORTATION

PROPOSAL

1. Proposal of _____

for the improvement identified and advertised for bids in the Invitation for Bids as:

Contract No. 66345 GRUNDY County Section D-3 STORM SEWER MAINT. 2003-1 Route FAS 301 District 3 Construction Funds

Storm sewer maintenance along Illinois Route 113 from west of Carbon Hill Road to County Line Road in Coal City and Diamond.

2. The undersigned bidder will furnish all labor, material and equipment to complete the above described project in a good and workmanlike manner as provided in the contract documents provided by the Department of Transportation. This proposal will become part of the contract and the terms and conditions contained in the contract documents shall govern performance and payments.

- 3. ASSURANCE OF EXAMINATION AND INSPECTION/WAIVER. The undersigned further declares that he/she has carefully examined the proposal, plans, specifications, form of contract and contract bond, and special provisions, and that he/she has inspected in detail the site of the proposed work, and that he/she has familiarized themselves with all of the local conditions affecting the contract and the detailed requirements of construction, and understands that in making this proposal he/she waives all right to plead any misunderstanding regarding the same.
- 4. **EXECUTION OF CONTRACT AND CONTRACT BOND.** The undersigned further agrees to execute a contract for this work and present the same to the department within fifteen (15) days after the contract has been mailed to him/her. The undersigned further agrees that he/she and his/her surety will execute and present within fifteen (15) days after the contract has been mailed to him/her contract bond satisfactory to and in the form prescribed by the Department of Transportation, in the penal sum of the full amount of the contract, guaranteeing the faithful performance of the work in accordance with the terms of the contract.
- 5. **PROPOSAL GUARANTY.** Accompanying this proposal is either a bid bond on the department form, executed by a corporate surety company satisfactory to the department, or a proposal guaranty check consisting of a bank cashier's check or a properly certified check for not less than 5 per cent of the amount bid or for the amount specified in the following schedule:

	Amount (of Bid	Proposal <u>Guaranty</u>	<u>Aı</u>	mount c		posal aranty
Up to		\$5,000	\$150	\$2,000,000	to	\$3,000,000\$10	00,000
\$5,000	to	\$10,000	\$300	\$3,000,000	to	\$5,000,000\$1	50,000
\$10,000	to	\$50,000	\$1,000	\$5,000,000	to	\$7,500,000\$2	50,000
\$50,000	to	\$100,000	\$3,000	\$7,500,000	to	\$10,000,000 \$40	00,000
\$100,000	to	\$150,000	\$5,000	\$10,000,000	to	\$15,000,000\$5	00,000
\$150,000	to	\$250,000	\$7,500	\$15,000,000	to	\$20,000,000\$6	00,000
\$250,000	to	\$500,000	\$12,500	\$20,000,000	to	\$25,000,000\$7	00,000
\$500,000	to	\$1,000,000	\$25,000	\$25,000,000	to	\$30,000,000 \$80	00,000
\$1,000,000	to	\$1,500,000	\$50,000	\$30,000,000	to	\$35,000,000 \$90	00,000
\$1,500,000	to	\$2,000,000	\$75,000	over		\$35,000,000 \$1,00	00,000

Bank cashier's checks or properly certified checks accompanying proposals shall be made payable to the Treasurer, State of Illinois, when the state is awarding authority; the county treasurer, when a county is the awarding authority; or the city, village, or town treasurer, when a city, village, or town is the awarding authority.

If a combination bid is submitted, the proposal guaranties which accompany the individual proposals making up the combination will be considered as also covering the combination bid.

	undersigned.
	Attach Cashier's Check or Certified Check Here
	In the event that one proposal guaranty check is intended to cover two or more proposals, the amount must be equal to the sum of the proposal guaranties which would be required for each individual proposal. If the guaranty check is placed in another proposal, state below where it may be found.
	The proposal guaranty check will be found in the proposal for: Item
	Section No
	County
ш	

Mark the proposal cover sheet as to the type of proposal guaranty submitted.

BD 354 (Rev. 11/2001)

6. **COMBINATION BIDS.** The undersigned further agrees that if awarded the contract for the sections contained in the following combination, he/she will perform the work in accordance with the requirements of each individual proposal comprising the combination bid specified in the schedule below, and that the combination bid shall be prorated against each section in proportion to the bid submitted for the same. If an error is found to exist in the gross sum bid for one or more of the individual sections included in a combination, the combination bid shall be corrected as provided in the specifications.

When a combination bid is submitted, the schedule below must be completed in each proposal comprising the combination.

If alternate bids are submitted for one or more of the sections comprising the combination, a combination bid must be submitted for each alternate.

Schedule of Combination Bids

Combination		Combinatio	n Bid
No.	Sections Included in Combination	Dollars	Cents

- 7. SCHEDULE OF PRICES. The undersigned bidder submits herewith, in accordance with the rules and instructions, a schedule of prices for the items of work for which bids are sought. The unit prices bid are in U.S. dollars and cents, and all extensions and summations have been made. The bidder understands that the quantities appearing in the bid schedule are approximate and are provided for the purpose of obtaining a gross sum for the comparison of bids. If there is an error in the extension of the unit prices, the unit prices shall govern. Payment to the contractor awarded the contract will be made only for actual quantities of work performed and accepted or materials furnished according to the contract. The scheduled quantities of work to be done and materials to be furnished may be increased, decreased or omitted as provided elsewhere in the contract.
- 8. **CERTIFICATE OF AUTHORITY.** The undersigned bidder, if a business organized under the laws of another State, assures the Department that it will furnish a copy of its certificate of authority to do business in the State of Illinois with the return of the executed contract and bond. Failure to furnish the certificate within the time provided for execution of an awarded contract may be cause for cancellation of the award and forfeiture of the proposal guaranty to the State.

Page 1 05/13/2003

ILLINOIS DEPARTMENT OF TRANSPORTATION SCHEDULE OF PRICES CONTRACT NUMBER - 66345

State Job # - C-93-102-03

PPS NBR - 0-03513-3001

County Name - GRUNDY- -

Code - 63 - - District - 3 - -

Section Number - D3 STORM SEWER MAINT 2003-1

Project Number	Route
	FAS 301

Item Number	Pay Item Description	Unit of Measure	Quantity	x	Unit Price	=	Total Price
X032316	VIDEO INSP OF SS	FOOT	20,602.000				
6710010	0 MOBILIZATION	L SUM	1.000				
7010262	TR CONT & PROT 701501	L SUM	1.000				

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CON		V	IΝU	J۱۷	IDER	۲

66345

NOTES:

- 1. Each PAY ITEM should have a UNIT PRICE and a TOTAL PRICE.
- 2. The UNIT PRICE shall govern if no TOTAL PRICE is shown or if there is a discrepancy between the product of the UNIT PRICE multiplied by the QL
- 3. If a UNIT PRICE is omitted, the TOTAL PRICE will be divided by the QUAI in order to establish a UNIT PRICE.

STATE REQUIRED ETHICAL STANDARDS GOVERNING CONTRACT PROCUREMENT: ASSURANCES, CERTIFICATIONS AND DISCLOSURES

I. GENERAL

- **A.** Article 50 of the Illinois Procurement Code establishes the duty of all State chief procurement officers, State purchasing officers, and their designees to maximize the value of the expenditure of public moneys in procuring goods, services, and contracts for the State of Illinois and to act in a manner that maintains the integrity and public trust of State government. In discharging this duty, they are charged by law to use all available information, reasonable efforts, and reasonable actions to protect, safeguard, and maintain the procurement process of the State of Illinois.
- **B.** In order to comply with the provisions of Article 50 and to carry out the duty established therein, all bidders are to adhere to ethical standards established for the procurement process, and to make such assurances, disclosures and certifications required by law. By execution of the Proposal Signature Sheet, the bidder indicates that each of the mandated assurances has been read and understood, that each certification is made and understood, and that each disclosure requirement has been understood and completed.
- **C.** In addition to all other remedies provided by law, failure to comply with any assurance, failure to make any disclosure or the making of a false certification shall be grounds for termination of the contract and the suspension or debarment of the bidder.

II. ASSURANCES

A. The assurances hereinafter made by the bidder are each a material representation of fact upon which reliance is placed should the Department enter into the contract with the bidder. The Department may terminate the contract if it is later determined that the bidder rendered a false or erroneous assurance, and the surety providing the performance bond shall be responsible for the completion of the contract.

B. Felons

1. The Illinois Procurement Code provides:

Section 50-10. Felons. Unless otherwise provided, no person or business convicted of a felony shall do business with the State of Illinois or any state agency from the date of conviction until 5 years after the date of completion of the sentence for that felony, unless no person held responsible by a prosecutorial office for the facts upon which the conviction was based continues to have any involvement with the business.

2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-10.

C. Conflicts of Interest

1. The Illinois Procurement Code provides in pertinent part:

Section 50-13. Conflicts of Interest.

- (a) Prohibition. It is unlawful for any person holding an elective office in this State, holding a seat in the General Assembly, or appointed to or employed in any of the offices or agencies of state government and who receives compensation for such employment in excess of 60% of the salary of the Governor of the State of Illinois, or who is an officer or employee of the Capital Development Board or the Illinois Toll Highway Authority, or who is the spouse or minor child of any such person to have or acquire any contract, or any direct pecuniary interest in any contract therein, whether for stationery, printing, paper, or any services, materials, or supplies, that will be wholly or partially satisfied by the payment of funds appropriated by the General Assembly of the State of Illinois or in any contract of the Capital Development Board or the Illinois Toll Highway authority.
- (b) Interests. It is unlawful for any firm, partnership, association or corporation, in which any person listed in subsection (a) is entitled to receive (i) more than 7 1/2% of the total distributable income or (ii) an amount in excess of the salary of the Governor, to have or acquire any such contract or direct pecuniary interest therein.
- (c) Combined interests. It is unlawful for any firm, partnership, association, or corporation, in which any person listed in subsection (a) together with his or her spouse or minor children is entitled to receive (i) more than 15%, in the aggregate, of the total distributable income or (ii) an amount in excess of 2 times the salary of the Governor, to have or acquire any such contract or direct pecuniary interest therein.
- (d) Securities. Nothing in this Section invalidates the provisions of any bond or other security previously offered or to be offered for sale or sold by or for the State of Illinois.
- (e) Prior interests. This Section does not affect the validity of any contract made between the State and an officer or employee of the State or member of the General Assembly, his or her spouse, minor child or any combination of those persons if that contract was in existence before his or her election or employment as an officer, member, or employee. The contract is voidable, however, if it cannot be completed within 365 days after the officer, member, or employee takes office or is employed.

The current salary of the Governor is \$150,700.00. Sixty percent of the salary is \$90,420.00.

2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-13, or that an effective exemption has been issued by the Board of Ethics to any individual subject to the Section 50-13 prohibitions pursuant to the provisions of Section 50-20 of the Code and Executive Order Number 3 (1998). Information concerning the exemption process is available from the Department upon request.

D. Negotiations

1. The Illinois Procurement Code provides in pertinent part:

Section 50-15. Negotiations.

- (a) It is unlawful for any person employed in or on a continual contractual relationship with any of the offices or agencies of State government to participate in contract negotiations on behalf of that office or agency with any firm, partnership, association, or corporation with whom that person has a contract for future employment or is negotiating concerning possible future employment.
- 2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-15, and that the bidder has no knowledge of any facts relevant to the kinds of acts prohibited therein.

E. Inducements

1. The Illinois Procurement Code provides:

Section 50-25. Inducement. Any person who offers or pays any money or other valuable thing to any person to induce him or her not to bid for a State contract or as recompense for not having bid on a State contract is guilty of a Class 4 felony. Any person who accepts any money or other valuable thing for not bidding for a State contract or who withholds a bid in consideration of the promise for the payment of money or other valuable thing is guilty of a Class 4 felony.

2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-25, and that the bidder has no knowledge of any facts relevant to the kinds of acts prohibited therein.

F. Revolving Door Prohibition

1. The Illinois Procurement Code provides:

Section 50-30. Revolving door prohibition. Chief procurement officers, associate procurement officers, State purchasing officers, their designees whose principal duties are directly related to State procurement, and executive officers confirmed by the Senate are expressly prohibited for a period of 2 years after terminating an affected position from engaging in any procurement activity relating to the State agency most recently employing them in an affected position for a period of at least 6 months. The prohibition includes, but is not limited to: lobbying the procurement process; specifying; bidding; proposing bid, proposal, or contract documents; on their own behalf or on behalf of any firm, partnership, association, or corporation. This Section applies only to persons who terminate an affected position on or after January 15,

2. The bidder assures the Department that the award and execution of the contract would not cause a violation of Section 50-30, and that the bidder has no knowledge of any facts relevant to the kinds of acts prohibited therein.

G. Reporting Anticompetitive Practices

1. The Illinois Procurement Code provides:

Section 50-40. Reporting anticompetitive practices. When, for any reason, any vendor, bidder, contractor, chief procurement officer, State purchasing officer, designee, elected official, or State employee suspects collusion or other anticompetitive practice among any bidders, offerors, contractors, proposers, or employees of the State, a notice of the relevant facts shall be transmitted to the Attorney General and the chief procurement officer.

2. The bidder assures the Department that it has not failed to report any relevant facts concerning the practices addressed in Section 50-40 which may involve the contract for which the bid is submitted.

H. Confidentiality

1. The Illinois Procurement Code provides:

Section 50-45. Confidentiality. Any chief procurement officer, State purchasing officer, designee, or executive officer who willfully uses or allows the use of specifications, competitive bid documents, proprietary competitive information, proposals, contracts, or selection information to compromise the fairness or integrity of the procurement, bidding, or contract process shall be subject to immediate dismissal, regardless of the Personnel code, any contract, or any collective bargaining agreement, and may in addition be subject to criminal prosecution.

2. The bidder assures the Department that it has no knowledge of any fact relevant to the practices addressed in Section 50-45 which may involve the contract for which the bid is submitted.

I. Insider Information

1. The Illinois Procurement Act provides:

Section 50-50. Insider information. It is unlawful for any current or former elected or appointed State official or State employee to knowingly use confidential information available only by virtue of that office or employment for actual or anticipated gain for themselves or another person.

2. The bidder assures the Department that it has no knowledge of any facts relevant to the practices addressed in Section 50-50 which may involve the contract for which the bid is submitted.

III. CERTIFICATIONS

A. The certifications hereinafter made by the bidder are each a material representation of fact upon which reliance is placed should the Department enter into the contract with the bidder. The Department may terminate the contract if it is later determined that the bidder rendered a false or erroneous certification, and the surety providing the performance bond shall be responsible for completion of the contract.

B. Bribery

1. The Illinois Procurement Code provides:

Section 50-5. Bribery.

- (a) Prohibition. No person or business shall be awarded a contract or subcontract under this Code who:
 - (1) has been convicted under the laws of Illinois or any other state of bribery or attempting to bribe an officer or employee of the State of Illinois or any other state in that officer's or employee's official capacity; or
 - (2) has made an admission of guilt of that conduct that is a matter of record but has not been prosecuted for that conduct.
- (b) Businesses. No business shall be barred from contracting with any unit of State or local government as a result of a conviction under this Section of any employee or agent of the business if the employee or agent is no longer employed by the business and:
 - (1) the business has been finally adjudicated not guilty; or
 - (2) the business demonstrates to the governmental entity with which it seeks to contract, and that entity finds that the commission of the offense was not authorized, requested, commanded, or performed by a director, officer, or high managerial agent on behalf of the business as provided in paragraph (2) of subsection (a) of Section 5-4 of the Criminal Code of 1961.
- (c) Conduct on behalf of business. For purposes of this Section, when an official, agent, or employee of a business committed the bribery or attempted bribery on behalf of the business and in accordance with the direction or authorization of a responsible official of the business, the business shall be chargeable with the conduct.
- (d) Certification. Every bid submitted to and contract executed by the State shall contain a certification by the contractor that the contractor is not barred from being awarded a contract or subcontract under this Section. A contractor who makes a false statement, material to the certification, commits a Class 3 felony.
- 2. The bidder certifies that it is not barred from being awarded a contract under Section 50.5.

C. Educational Loan

- 1. Section 3 of the Educational Loan Default Act provides:
- § 3. No State agency shall contract with an individual for goods or services if that individual is in default, as defined in Section 2 of this Act, on an educational loan. Any contract used by any State agency shall include a statement certifying that the individual is not in default on an educational loan as provided in this Section.
- 2. The bidder, if an individual as opposed to a corporation, partnership or other form of business organization, certifies that the bidder is not in default on an educational loan as provided in Section 3 of the Act.

D. Bid-Rigging/Bid Rotating

1. Section 33E-11 of the Criminal Code of 1961 provides:

§ 33E-11. (a) Every bid submitted to and public contract executed pursuant to such bid by the State or a unit of local government shall contain a certification by the prime contractor that the prime contractor is not barred from contracting with any unit of State or local government as a result of a violation of either Section 33E-3 or 33E-4 of this Article. The State and units of local government shall provide the appropriate forms for such certification.

(b) A contractor who makes a false statement, material to the certification, commits a Class 3 felony.

A violation of Section 33E-3 would be represented by a conviction of the crime of bid-rigging which, in addition to Class 3 felony sentencing, provides that any person convicted of this offense or any similar offense of any state or the United States which contains the same elements as this offense shall be barred for 5 years from the date of conviction from contracting with any unit of State or local government. No corporation shall be barred from contracting with any unit of State or local government as a result of a conviction under this Section of any employee or agent of such corporation if the employee so convicted is no longer employed by the corporation and: (1) it has been finally adjudicated not guilty or (2) if it demonstrates to the governmental entity with which it seeks to contract and that entity finds that the commission of the offense was neither authorized, requested, commanded, nor performed by a director, officer or a high managerial agent in behalf of the corporation.

A violation of Section 33E-4 would be represented by a conviction of the crime of bid-rotating which, in addition to Class 2 felony sentencing, provides that any person convicted of this offense or any similar offense of any state or the United States which contains the same elements as this offense shall be permanently barred from contracting with any unit of State or local government. No corporation shall be barred from contracting with any unit of State or local government as a result of a conviction under this Section of any employee or agent of such corporation if the employee so convicted is no longer employed by the corporation and: (1) it has been finally adjudicated not guilty or (2) if it demonstrates to the governmental entity with which it seeks to contract and that entity finds that the commission of the offense was neither authorized, requested, commanded, nor performed by a director, officer or a high managerial agent in behalf of the corporation.

2. The bidder certifies that it is not barred from contracting with the Department by reason of a violation of either Section 33E-3 or Section 33E-4.

E. International Anti-Boycott

- 1. Section 5 of the International Anti-Boycott Certification Act provides:
- § 5. State contracts. Every contract entered into by the State of Illinois for the manufacture, furnishing, or purchasing of supplies, material, or equipment or for the furnishing of work, labor, or services, in an amount exceeding the threshold for small purchases according to the purchasing laws of this State or \$10,000.00, whichever is less, shall contain certification, as a material condition of the contract, by which the contractor agrees that neither the contractor nor any substantially-owned affiliated company is participating or shall participate in an international boycott in violation of the provisions of the U.S. Export Administration Act of 1979 or the regulations of the U.S. Department of Commerce promulgated under that Act.
- 2. The bidder makes the certification set forth in Section 5 of the Act.

F. Drug Free Workplace

- 1. The Illinois "Drug Free Workplace Act" applies to this contract and it is necessary to comply with the provisions of the "Act" if the contractor is a corporation, partnership, or other entity (including a sole proprietorship) which has 25 or more employees.
- 2. The bidder certifies that if awarded a contract in excess of \$5,000 it will provide a drug free workplace by:
- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensation, possession or use of a controlled substance, including cannabis, is prohibited in the contractor's workplace; specifying the actions that will be taken against employees for violations of such prohibition; and notifying the employee that, as a condition of employment on such contract, the employee shall abide by the terms of the statement, and notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five (5) days after such conviction.
- (b) Establishing a drug free awareness program to inform employees about the dangers of drug abuse in the workplace; the contractor's policy of maintaining a drug free workplace; any available drug counseling, rehabilitation, and employee assistance programs; and the penalties that may be imposed upon employees for drug violations.
- (c) Providing a copy of the statement required by subparagraph (1) to each employee engaged in the performance of the contract and to post the statement in a prominent place in the workplace.
- (d) Notifying the Department within ten (10) days after receiving notice from an employee or otherwise receiving actual notice of the conviction of an employee for a violation of any criminal drug statute occurring in the workplace.
- (e) Imposing or requiring, within 30 days after receiving notice from an employee of a conviction or actual notice of such a conviction, an appropriate personnel action, up to and including termination, or the satisfactory participation in a drug abuse assistance or rehabilitation program approved by a federal, state or local health, law enforcement or other appropriate agency.
- (f) Assisting employees in selecting a course of action in the event drug counseling, treatment, and rehabilitation is required and indicating that a trained referral team is in place.
- (g) Making a good faith effort to continue to maintain a drug free workplace through implementation of the actions and efforts stated in this certification.

G. Debt Delinquency

1. The Illinois Procurement Code provides:

Section 50-11. Debt Delinquency.

- (a) No person shall submit a bid or enter into a contract with a State agency under this Code if that person knows or should know that he or she is delinquent in the payment of any debt to the State, unless the person has entered into a deferred payment plan to pay off the debt. For purposes of this Section, the phrase "delinquent in the payment of any debt" shall be determined by the Debt Collection Board.
- (b) Every bid submitted to and contract executed by the State shall contain a certification by the bidder or contractor that the contractor is not barred from being awarded a contract under this Section and that the contractor acknowledges that the contracting State agency may declare the contract void of the certification completed pursuant to this subsection (b) is false.
- 2. The bidder certifies that it is not barred from being awarded a contract by this section. The bidder acknowledges that the Department may declare the contract void if this certification is false.

TO BE RETURNED WITH BID

IV. DISCLOSURES

A. The disclosures hereinafter made by the bidder are each a material representation of fact upon which reliance is placed should the Department enter into the contract with the bidder. The Department may terminate the contract if it is later determined that the bidder rendered a false or erroneous disclosure, and the surety providing the performance bond shall be responsible for completion of the contract.

B. Financial Interests and Conflicts of Interest

1. Section 50-35 of the Illinois Procurement Code provides that all bids of more than \$10,000 shall be accompanied by disclosure of the financial interests of the bidder. This disclosed information for the successful bidder, will be maintained as public information subject to release by request pursuant to the Freedom of Information Act.

The financial interests to be disclosed shall include ownership or distributive income share that is in excess of 5%, or an amount greater than 60% of the annual salary of the Governor, of the bidding entity or its parent entity, whichever is less, unless the contractor or bidder is a publicly traded entity subject to Federal 10K reporting, in which case it may submit its 10K disclosure in place of the prescribed disclosure. If a bidder is a privately held entity that is exempt from Federal 10K reporting, but has more than 400 shareholders, it may submit the information that Federal 10K companies are required to report, and list the names of any person or entity holding any ownership share that is in excess of 5%. The disclosure shall include the names, addresses, and dollar or proportionate share of ownership of each person making the disclosure, their instrument of ownership or beneficial relationship, and notice of any potential conflict of interest resulting from the current ownership or beneficial interest of each person making the disclosure having any of the relationships identified in Section 50-35 and on the disclosure form.

In addition, all disclosures shall indicate any other current or pending contracts, proposals, leases, or other ongoing procurement relationships the bidding entity has with any other unit of state government and shall clearly identify the unit and the contract, proposal, lease, or other relationship.

2. <u>Disclosure Forms</u>. Disclosure Form A is attached for use concerning the individuals meeting the above ownership or distributive share requirements. Subject individuals should be covered each by one form. In addition, a second form (Disclosure Form B) provides for the disclosure of current or pending procurement relationships with other (non-IDOT) state agencies. **The forms must be included with each bid or incorporated by reference.**

C. <u>Disclosure Form Instructions</u>

Form A: For bidders that have previously submitted the information requested in Form A

The Department has retained the Form A disclosures submitted by all bidders responding to these requirements for the April 24, 1998 or any subsequent letting conducted by the Department. The bidder has the option of submitting the information again or the bidder may sign the following certification statement indicating that the information previously submitted by the bidder is, as of the date of signature, current and accurate. The Certification must be signed and dated by a person who is authorized to execute contracts for the bidding company. Before signing this certification, the bidder should carefully review its prior submissions to ensure the Certification is correct. If the Bidder signs the Certification, the Bidder should proceed to Form B instructions.

CERTIFICATION STATEMENT

I have determined that the Form A disclosure inform accurate, and all forms are hereby incorporated by forms or amendments to previously submitted form	reference in this bid. Any n	
(Bidding C	company)	
Name of Authorized Representative (type or print)	Title of Authorized Represer	ntative (type or print)
Signature of Author	orized Representative	Date

Form A: For bidders who have NOT previously submitted the information requested in Form A

If the bidder is a publicly traded entity subject to Federal 10K reporting, the 10K Report may be submitted to meet the requirements of Form A. If a bidder is a privately held entity that is exempt from Federal 10K reporting, but has more than 400 shareholders, it may submit the information that Federal 10K companies are required to report, and list the names of any person or entity holding any ownership share that is in excess of 5%. If a bidder is not subject to Federal 10K reporting, the bidder must determine if any individuals are required by law to complete a financial disclosure form. To do this, the bidder should answer each of the following questions. A "YES" answer indicates Form A must be completed. If the answer to each of the following questions is "NO", then the NOT APPLICABLE STATEMENT on the second page of Form A must be signed and dated by a person that is authorized to execute contracts for the bidding company. Note: These questions are for assistance only and are not required to be completed.

1.	Does anyone in your organization have a direct or beneficial ownership share of greater than 5% of the bidding entity or parent entity? YES NO
2.	Does anyone in your organization have a direct or beneficial ownership share of less than 5%, but which has a value greater than \$90,420.00? YES NO
3.	Does anyone in your organization receive more than \$90,420.00 of the bidding entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.) YES NO
4.	Does anyone in your organization receive greater than 5% of the bidding entity's or parent entity's total distributive income, but which is less than \$90,420.00? YES NO
	(Note: Only one set of forms needs to be completed <u>per person per bid</u> even if a specific individual would require a yes answer to more than one question.)
the bidd is autho	answer to any of these questions requires the completion of Form A. The bidder must determine each individual in the bidding entity or ing entity's parent company that would cause the questions to be answered "Yes". Each form must be signed and dated by a person that rized to execute contracts for your organization. Photocopied or stamped signatures are not acceptable . The person signing can be, s not have to be, the person for which the form is being completed. The bidder is responsible for the accuracy of any information provided.
	swer to each of the above questions is "NO", then the <u>NOT APPLICABLE STATEMENT</u> on page 2 of Form A must be signed and dated son that is authorized to execute contracts for your company.
the bidd	Identifying Other Contracts & Procurement Related Information Disclosure Form B must be completed for each bid submitted by ing entity. It must be signed by an individual who is authorized to execute contracts for the bidding entity. Note: Signing the NOT ABLE STATEMENT on Form A does not allow the bidder to ignore Form B. Form B must be completed, signed and dated or the bidder considered nonresponsive and the bid will not be accepted.
ongoing	der shall identify, by checking Yes or No on Form B, whether it has any pending contracts (including leases), bids, proposals, or other procurement relationship with any other (non-IDOT) State of Illinois agency. If "No" is checked, the bidder only needs to complete the e box on the bottom of Form B. If "Yes" is checked, the bidder must do one of the following:
agency attached contract	If the bidder did not submit an Affidavit of Availability to obtain authorization to bid, the bidder must list all non-IDOT State of Illinois pending contracts, leases, bids, proposals, and other ongoing procurement relationships. These items may be listed on Form B or on an disheet(s). Do not include IDOT contracts. Contracts with cities, counties, villages, etc. are not considered State of Illinois agency is and are not to be included. Contracts with other State of Illinois agencies such as the Department of Natural Resources or the Capital ment Board must be included. Bidders who submit Affidavits of Availability are suggested to use Option II.
"See Aff agency	I: If the bidder is required and has submitted an Affidavit of Availability in order to obtain authorization to bid, the bidder may write or type idavit of Availability" which indicates that the Affidavit of Availability is incorporated by reference and includes all non-IDOT State of Illinois pending contracts, leases, bids, proposals, and other ongoing procurement relationships. For any contracts that are not covered by the of Availability, the bidder must identify them on Form B or on an attached sheet(s). These might be such things as leases.
Bidders	Submitting More Than One Bid
	submitting multiple bids may submit one set of forms consisting of all required Form A disclosures and one Form B for use with all bids. ndicate in the space provided below the bid item that contains the original disclosure forms and the bid items which incorporate the forms ence.
	he bid submitted for letting item contains the Form A disclosures or Certification Statement and the Form B sclosures. The following letting items incorporate the said forms by reference:

ILLINOIS DEPARTMENT OF TRANSPORTATION

Form A Financial Information & **Potential Conflicts of Interest Disclosure**

Contractor Name		
Legal Address		
City, State, Zip		
Telephone Number	Email Address	Fax Number (if available)
Disclosure of the information contained in the LCS 500). Vendors desiring to enter into a cotential conflict of interest information as solublicly available contract file. This Form A contracts. A publicly traded company mather equirements set forth in Form A. See	contract with the State of Illinois is specified in this Disclosure Form. A must be completed for bids in each submit a 10K disclosure (or each bisclosure Form Instructions.	must disclose the financial information and This information shall become part of the excess of \$10,000, and for all open-ended quivalent if applicable) in satisfaction of
DISCLO	SURE OF FINANCIAL INFORM	IATION
of ownership or distributive income share in of the Governor's salary as of 7/1/01). (Mak A for each individual meeting these requ FOR INDIVIDUAL (type or print informat NAME: ADDRESS	e copies of this form as necessar irements)	ry and attach a separate Disclosure Form
Type of ownership/distributable incor	ne share:	
stock sole proprietorship % or \$ value of ownership/distributable i		other: (explain on separate sheet):
2. Disclosure of Potential Conflicts of Interest relationships apply. If the		
(a) State employment, currently or in the	ne previous 3 years, including contra	actual employment of services. YesNo
If your answer is yes, please answe	r each of the following questions.	
 Are you currently an officer Highway Authority? 	or employee of either the Capitol D	evelopment Board or the Illinois Toll YesNo
currently appointed to or en	d to or employed by any agency of nployed by any agency of the State of the Governor's salary as of 7/	of Illinois, and your annual salary

agency for which you are employed and your annual salary. _____

3.	salary exceeds \$90,420.00, (60% of the Governor's salary as of 7/1/(i) more than 7 1/2% of the total distributable income of your firm, corporation, or (ii) an amount in excess of the salary of the Governor?	(01) are you entitled to receive partnership, association or
4.	If you are currently appointed to or employed by any agency of the Sta salary exceeds \$90,420.00, (60% of the Governor's salary as of 7/1/or minor children entitled to receive (i) more than 15 % in the aggre income of your firm, partnership, association or corporation, or (ii) an the salary of the Governor?	(01) are you and your spouse egate of the total distributable
	oyment of spouse, father, mother, son, or daughter, including contractious 2 years.	tual employment services
If your ans	wer is yes, please answer each of the following questions.	YesNo
1.	Is your spouse or any minor children currently an officer or employee Board or the Illinois Toll Highway Authority?	of the Capitol Development YesNo
2.	Is your spouse or any minor children currently appointed to or employ of Illinois? If your spouse or minor children is/are currently appagency of the State of Illinois, and his/her annual salary exceeds Governor's salary as of 7/1/01) provide the name of your spouse an of the State agency for which he/she is employed and his/her annual salary exceeds the state agency for which he/she is employed and his/her annual salary exceeds the state agency for which he/she is employed and his/her annual salary exceeds the salary ex	ointed to or employed by any s \$90,420.00, (60 % of the ad/or minor children, the name
3.	If your spouse or any minor children is/are currently appointed to or estate of Illinois, and his/her annual salary exceeds \$90,420.00, (60% as of 7/1/01) are you entitled to receive (i) more then 71/2% of the tota firm, partnership, association or corporation, or (ii) an amount in Governor?	of the salary of the Governor al distributable income of your
4.	If your spouse or any minor children are currently appointed to or em State of Illinois, and his/her annual salary exceeds \$90,420.00, (60% 7/1/01) are you and your spouse or minor children entitled to rece aggregate of the total distributable income of your firm, partnership, (ii) an amount in excess of 2 times the salary of the Governor?	of the Governor's salary as of ive (i) more than 15 % in the
		YesNo
unit of	we status; the holding of elective office of the State of Illinois, the govern local government authorized by the Constitution of the State of Illinois currently or in the previous 3 years.	nment of the United States, any or the statutes of the State of YesNo
` '	onship to anyone holding elective office currently or in the previous 2 year daughter.	ears; spouse, father, mother, YesNo
Ameri of the	ntive office; the holding of any appointive government office of the State ca, or any unit of local government authorized by the Constitution of the State of Illinois, which office entitles the holder to compensation in except and of that office currently or in the previous 3 years.	e State of Illinois or the statutes
	onship to anyone holding appointive office currently or in the previous 2 daughter.	years; spouse, father, mother, YesNo
(g) Emplo	byment, currently or in the previous 3 years, as or by any registered lobb	byist of the State government. YesNo

(h) Relationship to a son, or daughter.	nyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mo YesNo	other,
committee registe	oloyment, currently or in the previous 3 years, by any registered election or reelected with the Secretary of State or any county clerk of the State of Illinois, or any poregistered with either the Secretary of State or the Federal Board of Elections. Yes No	
last 2 years by an county clerk of the	nyone; spouse, father, mother, son, or daughter; who was a compensated employee y registered election or re-election committee registered with the Secretary of State of State of Illinois, or any political action committee registered with either the Secretaral Board of Elections.	or any
	Yes No	
	APPLICABLE STATEMENT	
This Disclosure For	m A is submitted on behalf of the INDIVIDUAL named on previous page.	
Completed by:		
-	Name of Authorized Representative (type or print)	
Completed by:		
	Title of Authorized Representative (type or print)	
Completed by:		_
	Signature of Individual or Authorized Representative Date	
	NOT APPLICABLE STATEMENT	
I have determined t require the complet	hat no individuals associated with this organization meet the criteria that wou ion of this Form A.	ld
This Disclosure For	m A is submitted on behalf of the CONTRACTOR listed on the previous page.	
-	Name of Authorized Representative (type or print)	
-	Title of Authorized Representative (type or print)	
-	Signature of Authorized Representative Date	_

ILLINOIS DEPARTMENT OF TRANSPORTATION

Form B Other Contracts & Procurement Related Information Disclosure

		130103010	
Contractor Name			
Legal Address			
City, State, Zip			
Telephone Number	Email Address	Fax Number (if available)	
Disclosure of the information contained in this 500). This information shall become part of excess of \$10,000, and for all open-ended co	the publicly available contract file.		
DISCLOSURE OF OTHER	CONTRACTS AND PROCUREMEN	IT RELATED INFORMATION	
1. Identifying Other Contracts & Procure pending contracts (including leases), bids, pullinois agency: Yes No If "No" is checked, the bidder only needs to	proposals, or other ongoing procure	ment relationship with any other State	of
2. If "Yes" is checked. Identify each such information such as bid or project number (a INSTRUCTIONS:			е
THE FO	LLOWING STATEMENT MUST BE	SIGNED	
Nai	me of Authorized Representative (type or pri	nt)	
	le of Authorized Representative (type or prin	nt)	
	Signature of Authorized Representative	Date	

SPECIAL NOTICE TO CONTRACTORS

The following requirements of the Illinois Department of Human Rights' Rules and Regulations are applicable to bidders on all construction contracts advertised by the Illinois Department of Transportation:

CONSTRUCTION EMPLOYEE UTILIZATION PROJECTION

- (a) All bidders on construction contracts shall complete and submit, along with and as part of their bids, a Bidder's Employee Utilization Form (Form BC-1256) setting forth a projection and breakdown of the total workforce intended to be hired and/or allocated to such contract work by the bidder including a projection of minority and female employee utilization in all job classifications on the contract project.
- (b) The Department of Transportation shall review the Employee Utilization Form, and workforce projections contained therein, of the contract awardee to determine if such projections reflect an underutilization of minority persons and/or women in any job classification in accordance with the Equal Employment Opportunity Clause and Section 7.2 of the Illinois Department of Human Rights' Rules and Regulations for Public Contracts adopted as amended on September 17, 1980. If it is determined that the contract awardee's projections reflect an underutilization of minority persons and/or women in any job classification, it shall be advised in writing of the manner in which it is underutilizing and such awardee shall be considered to be in breach of the contract unless, prior to commencement of work on the contract project, it submits revised satisfactory projections or an acceptable written affirmative action plan to correct such underutilization including a specific timetable geared to the completion stages of the contract.
- (c) The Department of Transportation shall provide to the Department of Human Rights a copy of the contract awardee's Employee Utilization Form, a copy of any required written affirmative action plan, and any written correspondence related thereto. The Department of Human Rights may review and revise any action taken by the Department of Transportation with respect to these requirements.



Contract No. 66345 GRUNDY County Section D-3 STORM SEWER MAINT. 2003-1 Route FAS 301 District 3 Construction Funds

PART I. IDENTIFICA	ATION										000				•			
Dept. Human Rights	s #						_ Dur	ation	of Proj	ect: _						_		
Name of Bidder:																_		
PART II. WORKFO A. The undersigned which this contract wor projection including a part of the part of	bidder ha	as analyz e perform for mino	ed mir ed, an rity an	d for the d fema TA	ne locat ale emp ABLE A	ions fro loyee u	m which tilization	ch the b on in all	oidder r	ecruits	employ	ees, and	hereb	oy subr e alloca	nits the fo ated to this TAI	llowi s coi BLE	ing workt ntract: B	force
		TOTA	L Wo	kforce	Project	tion for	Contra	ıct	1				_	(CURRENT		IPLOYEI SIGNED	ΞS
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OFFICIALS (MANAGERS)	IVI	Г	IVI	Г	IVI	Г	IVI	Г	IVI	Г	IVI	<u> </u>		IVI	Г		IVI	Г
SUPERVISORS																		
FOREMEN																		
CLERICAL																		
EQUIPMENT OPERATORS																		
MECHANICS																		
TRUCK DRIVERS																		
IRONWORKERS																		
CARPENTERS																		
CEMENT MASONS																		
ELECTRICIANS PIPEFITTERS, PLUMBERS													-					
PAINTERS LABORERS, SEMI-SKILLED													.					
LABORERS, UNSKILLED																		
TOTAL																		
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TRAINING APPRENTICES	M	F	М	F	M	F	M	F	-									
									_									
ON THE JOB TRAINEES																		

*Other minorities are defined as Asians (A) or Native Americans (N).

Please specify race of each employee shown in Other Minorities column.

Note: See instructions on the next page

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Contract No. 66345 GRUNDY County Section D-3 STORM SEWER MAINT. 2003-1 Route FAS 301 District 3 Construction Funds

PART II. WORKFORCE PROJECTION - continued

В.		ed in "Total dersigned b					ne total	number	of ne	w hire	s that	would b	e en	nployed	in the event
	The II	ndersigned	hidder	projects	that.	(number)								new hire	es would be
		ed from					the	contract	n	roject	is	located	٦· 	and/or	(number)
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C.		ed in "Total signed bidd													rectly by the
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	be dir	ectly emplo yed by sub	yed by contrac	the prim tors.	e co	ntractor an	d that	(number))					per	persons will sons will be
PART	III. AFF	IRMATIVE	ACTIO	N PLAN											
A.	utilizatin any commo (geare utilizati	tion projecti	on incluory, and of work completions.	uded und d in the e k, develo ion stage Such A	er P A event op ar es of ffirm	ART II is dealer that the united submit for the control of the con	etermin ındersiç a writte act) w	ed to be gned bidd en Affirm hereby c	an u der is native deficie	inderut s awar e Actio encies	ilizatio ded th n Plan in mii	n of minis contra is contra i includi nority a	nority act, l ing a nd/oi	person he/she specif female	e employee s or women will, prior to ic timetable e employee agency and
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Addre	ess														
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		der's signatu o be complet				nature Shee			_		this for	m. The f	follow	ving signa	ature block
	Signatu	re:						Title:				D	ate:		
Instruc	tions:	All tables mu	st includ	e subcontra	actor p	personnel in a	ddition to	prime con	ntracto	r persor	nel.				
Table A	۸ -	(Table B) tha	t will be	allocated to	o cont		d include	all appren	tices a	and on-t	ne-job tra	ainees. T	he "T	otal Empl	ently employed oyees" column act work.
Table E	3 -	Include all er currently em		currently e	employ	yed that will b	e allocate	ed to the co	ontract	t work in	cluding a	any appre	ntices	and on-ti	he-job trainees
Table 0	C -	Indicate the	acial bre	akdown of	the to	tal apprentice	s and on	-the-job tra	inees	shown i	n Table	A.			
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Contract No. 66345 GRUNDY County Section D-3 STORM SEWER MAINT. 2003-1 Route FAS 301 District 3 Construction Funds

PROPOSAL SIGNATURE SHEET

The undersigned bidder hereby makes and submits this bid on the subject Proposal, thereby assuring the Department that all requirements of the Invitation for Bids and rules of the Department have been met, that there is no misunderstanding of the requirements of paragraph 3 of this Proposal, and that the contract will be executed in accordance with the rules of the Department if an award is made on this bid.

	Firm Name	
(IF AN INDIVIDUAL)		
	Firm Name	
(IF A CO-PARTNERSHIP)		
,		
		Name and Address of All Members of the Firm:
-		
	Corporate Name	
	Бу	Signature of Authorized Representative
		Typed or printed name and title of Authorized Representative
(IF A CORPORATION)	Δttest	
(IF A JOINT VENTURE, USE THIS SECTION	I	Signature
FOR THE MANAGING PARTY AND THE SECOND PARTY SHOULD SIGN BELOW)	Business Address	
	Corporate Name	
	Ву	
		Signature of Authorized Representative
		Typed or printed name and title of Authorized Representative
(IF A JOINT VENTURE)	Attest	
	Allest	Signature
	Business Address	
If more than two parties are in the joint venture	e, please attach an ac	dditional signature sheet.



Division of Highways Proposal Bid Bond (Effective Nevember 1, 16

(Effective November 1, 1992)

-	Item No.
	Letting Date
KNOW ALL MEN BY THESE PRESENTS, That We	
DDINCIPAL J	
as PRINCIPAL, and	
Article 102.09 of the "Standard Specifications for Road and Brid	as SURETY, are INOIS in the penal sum of 5 percent of the total bid price, or for the amount specified in lege Construction" in effect on the date of invitation for bids, whichever is the lesser sum, well nent of which we bind ourselves, our heirs, executors, administrators, successors and assigns.
	IS SUCH, That Whereas, the PRINCIPAL has submitted a bid proposal to the STATE OF the improvement designated by the Transportation Bulletin Item Number and Letting Date
in the bidding and contract documents, submit a DBE Utilization Department, the PRINCIPAL shall enter into a contract in according insurance coverages and providing such bond as specified with g payment of labor and material furnished in the prosecution therefore to enter into such contract and to give the specified bond, the	d proposal of the PRINCIPAL; and if the PRINCIPAL shall, within the time and as specified in Plan that is accepted and approved by the Department; and if, after award by the lance with the terms of the bidding and contract documents including evidence of the required good and sufficient surety for the faithful performance of such contract and for the prompt of; or if, in the event of the failure of the PRINCIPAL to make the required DBE submission PRINCIPAL pays to the Department the difference not to exceed the penalty hereof between for which the Department may contract with another party to perform the work covered by erwise, it shall remain in full force and effect.
paragraph, then Surety shall pay the penal sum to the Dep	PRINCIPAL has failed to comply with any requirement as set forth in the preceding artment within fifteen (15) days of written demand therefor. If Surety does not make ay bring an action to collect the amount owed. Surety is liable to the Department for tigation in which it prevails either in whole or in part.
In TESTIMONY WHEREOF, the said PRINCIPA respective officers this day of	L and the said SURETY have caused this instrument to be signed by their
PRINCIPAL	SURETY
(Company Name)	(Company Name)
By:	By:
(Signature & Title)	(Signature of Attorney-in-Fact)
Nota	ry Certification for Principal and Surety
STATE OF ILLINOIS, COUNTY OF	
I	, a Notary Public in and for said County, do hereby certify that
and	
	uals signing on behalf of PRINCIPAL & SURETY)
	sons whose names are subscribed to the foregoing instrument on behalf of person and acknowledged respectively, that they signed and delivered said purposes therein set forth.
Given under my hand and notarial seal thisd	lay of, A.D
My commission expires	
- <u> </u>	Notary Public
	Form, the Principal may file an Electronic Bid Bond. By signing below the Principal cuted and the Principal and Surety are firmly bound unto the State of Illinois under
Electronic Bid Bond ID# Company/Bidder Name	Signature and Title

PROPOSAL ENVELOPE



PROPOSALS

for construction work advertised for bids by the Illinois Department of Transportation

Item No.	Item No.	Item No.

Submitted By:

Name:	
Address:	
Phone No.	

Bidders should use an IDOT proposal envelope or affix this form to the front of a 10" x 13" envelope for the submittal of bids. If proposals are mailed, they should be enclosed in a second or outer envelope addressed to:

Engineer of Design and Environment - Room 323 Illinois Department of Transportation 2300 South Dirksen Parkway Springfield, Illinois 62764

NOTICE

Individual bids, including Bid Bond and/or supplemental information if required, should be securely stapled.

CONTRACTOR OFFICE COPY OF CONTRACT SPECIFICATIONS

NOTICE

None of the following material needs to be returned with the bid package unless the special provisions require documentation and/or other information to be submitted.

Contract No. 66345
GRUNDY County
Section D-3 STORM SEWER MAINT. 2003-1
Route FAS 301
District 3 Construction Funds



Illinois Department of Transportation

NOTICE TO BIDDERS

- 1. TIME AND PLACE OF OPENING BIDS. Sealed proposals for the improvement described herein will be received by the Department of Transportation at the Harry R. Hanley Building, 2300 South Dirksen Parkway, in Springfield, Illinois until 10:00 o'clock a.m., June 13, 2003. All bids will be gathered, sorted, publicly opened and read in the auditorium at the Department of Transportation's Harry R. Hanley Building shortly after the 10:00 a.m. cut off time.
- **2. DESCRIPTION OF WORK**. The proposed improvement is identified and advertised for bids in the Invitation for Bids as:

Contract No. 66345
GRUNDY County
Section D-3 STORM SEWER MAINT. 2003-1
Route FAS 301
District 3 Construction Funds

Storm sewer maintenance along Illinois Route 113 from west of Carbon Hill Road to County Line Road in Coal City and Diamond.

- 3. INSTRUCTIONS TO BIDDERS. (a) This Notice, the invitation for bids, proposal and letter of award shall, together with all other documents in accordance with Article 101.09 of the Standard Specifications for Road and Bridge Construction, become part of the contract. Bidders are cautioned to read and examine carefully all documents, to make all required inspections, and to inquire or seek explanation of the same prior to submission of a bid.
 - (b) State law, and, if the work is to be paid wholly or in part with Federal-aid funds, Federal law requires the bidder to make various certifications as a part of the proposal and contract. By execution and submission of the proposal, the bidder makes the certification contained therein. A false or fraudulent certification shall, in addition to all other remedies provided by law, be a breach of contract and may result in termination of the contract.
- 4. AWARD CRITERIA AND REJECTION OF BIDS. This contract will be awarded to the lowest responsive and responsible bidder considering conformity with the terms and conditions established by the Department in the rules, Invitation for Bids and contract documents. The issuance of plans and proposal forms for bidding based upon a prequalification rating shall not be the sole determinant of responsibility. The Department reserves the right to determine responsibility at the time of award, to reject any or all proposals, to readvertise the proposed improvement, and to waive technicalities.

By Order of the Illinois Department of Transportation

Timothy W. Martin, Secretary

BD 351 (Rev. 01/2003)

INDEX FOR SUPPLEMENTAL SPECIFICATIONS AND RECURRING SPECIAL PROVISIONS

Adopted January 1, 2003

This sheet contains a listing of SUPPLEMENTAL SPECIFICATIONS, frequently used RECURRING SPECIAL PROVISIONS and LOCAL ROADS AND STREETS RECURRING SPECIAL PROVISIONS.

ERRATA Standard Specifications for Road and Bridge Construction (Adopted 1-1-02) (Revised 1-1-03)

SUPPLEMENTAL SPECIFICATIONS

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442	Pavement Patching	3
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505	Steel Structures	5
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RECURRING SPECIAL PROVISIONS

The following RECURRING SPECIAL PROVISIONS indicated by an "X" are applicable to this contract and are included by reference:

СН	ECH	SHEET# PAGE	NO.
1		State Required Contract Provisions All Federal-aid Construction Contracts (Eff. 2-1-69) (Rev. 10-1-83)	
2		Subletting of Contracts (Federal-aid Contracts) (Eff. 1-1-88) (Rev. 5-1-93)	33
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4	Χ	Specific Equal Employment Opportunity Responsibilities NonFederal-aid Contracts	
		(Eff. 3-20-69) (Rev. 1-1-94)	45
5	Χ	Required Provisions - State Contracts (Eff. 4-1-65) (Rev. 4-1-93)	51
6		R.R. Protective Liability Form (Eff. 6-10-58) (Rev. 9-29-67)	56
7		Asphalt Quantities and Cost Reviews (Eff. 7-1-88)	72
8		National Pollutant Discharge Elimination System Permit (Eff. 7-1-94) (Rev. 1-1-03)	73
9		Haul Road Stream Crossings, Other Temporary Stream Crossings and In-Stream Work Pads	
		(Eff. 1-2-92) (Rev. 1-1-98)	74
10		Construction Layout Stakes Except for Bridges (Eff. 1-1-99) (Rev. 1-1-02)	75
11		Construction Layout Stakes (Eff. 5-1-93) (Rev. 1-1-02)	78
12		Use of Geotextile Fabric for Railroad Crossing (Eff. 1-1-95) (Rev. 1-1-97)	81
13		Asphaltic Emulsion Slurry Seal and Fibrated Asphaltic Emulsion Slurry Seal (Eff. 8-1-89) (Rev. 2-1-97)	
14		Bituminous Surface Treatments Half-Smart (Eff. 7-1-93) (Rev. 1-1-97)	89
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22		Protective Shield System (Eff. 4-1-95) (Rev. 1-1-03)	
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27		Bicycle Racks (Eff. 4-1-94) (Rev. 1-1-97)	
28		Give em a Brake Sign (Eff. 8-1-89) (Rev. 8-1-91)	143
29		Portable Changeable Message Signs (Eff. 11-1-93) (Rev. 2-1-96)	144
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STATE OF ILLINOIS

SPECIAL PROVISIONS

The following Special Provisions supplement the "Standard Specifications for Road and Bridge Construction," adopted January 1, 2002, the latest edition of the "Manual on Uniform Traffic Control Devices for Streets and Highways," and the "Manual of Test Procedures for Materials" in effect on the date of invitation for bids, and the Supplemental Specifications and Recurring Special Provisions indicated on the Check Sheet included herein which apply to and govern the construction of FAS Route 301 (IL 113), Section D-3 Storm Sewer Maint. 2003-1 in Grundy County and in case of conflict with any part or parts of said Specifications, the said Special Provisions shall take precedence and shall govern.

Contract No. 66345

LOCATION OF PROJECT

This project is located on FAS 301 (IL 113) beginning at L.M. 0.00, a point 0.51 miles (2693 feet) west of Carbon Hill Road and proceeding easterly through the Cities of Coal City and Diamond ending at L.M. 3.50 (County Line Road) in Grundy County.

DESCRIPTION OF PROJECT

This project consists of Video Inspection and writing Condition Reports of the existing storm sewer system through the Cities of Coal City and Diamond.

TRAFFIC CONTROL PLAN

(Revised January 1, 2002)

Traffic control shall be in accordance with the applicable sections of the Standard Specifications for Road and Bridge Construction, the applicable guidelines contained in the Illinois Manual on Uniform Traffic Control Devices for Streets and Highways, these special provisions, and any special details and Highway Standards herein and in the plans and the Standard Specifications for Traffic Control Items.

Special attention is called to the following sections of the Standard Specifications, the Highway Standards, and the special provisions relating to traffic control:

Standard Specifications:

Section 701- Work Zone Traffic Control Section 702 - Work Zone Traffic Control Devices

Highway Standards:

701001 701006 701501 702001

In addition, the following also relate to traffic control for this project:

SPECIAL PROVISIONS

Equipment Illumination
Keeping Roads Open to Traffic
Flagger Vests
Florescent Orange Shading on Drums
Traffic Control Deficiency Deduction
Work Zone Traffic Control Devices

EQUIPMENT ILLUMINATION

(Revised January 26, 1998)

The contractor shall equip all machinery and vehicles with a flashing amber dome light, installed so the illumination is visible from all directions.

KEEPING ROADS OPEN TO TRAFFIC

(Effective December 1, 1999)

(Revised July 24, 2000)

All lanes shall be open to traffic during the legal holiday periods according to Article 107.09 of the Standard Specifications, during weekends defined as 3:00 p.m. Friday to 12:00 midnight Sunday, and at the end of each work day.

VIDEO INSPECTION AND HIGH VELOCITY CLEANING SPECIFICATIONS

HIGH VELOCITY CLEANING

- 1. High velocity sewer cleaning equipment will be utilized to flush any debris in the pipeline that would restrict the camera from passing through the pipe or inhibit visual inspection. The cleaning shall remove the sediment, debris, blockages, mineral deposits, grease, etc. necessary to enable a complete and full inspection of the original pipe material.
- 2. Cleaning shall be achieved by access through the downstream manhole when possible. The high velocity sewer cleaning equipment will be placed in the downstream manhole and will be jetted up to the upstream manhole to ensure that cleaning is performed throughout the entire section.
- 3. The high velocity sewer cleaning equipment shall:
 - Be constructed and maintained in a manner for ease of use and safe operation.
 - Consist of a minimum of three (3) or more high velocity jet nozzles. The nozzles shall be capable of cutting roots, removing large debris or penetrating through pipeline blockages. The nozzles shall be capable of producing a scouring action from fifteen (15) degrees to forty-five (45) degrees in all size lines designated for cleaning and inspection.
 - Carry its own water tank, auxiliary engines, pumps and hydraulically driven hose reel.
 - Have all controls mounted in a location so that the equipment is operated from above the ground.
- 4. During the sewer cleaning process when any of the propelled cleaning equipment or any other tools or equipment is used that may inhibit the flow, precautions shall be taken with the use of this equipment by closely monitoring the water pressure generated so that:
 - The cleaning process will not cause the flooding of any public or private property being served by that particular section.
 - No further damage occurs to any existing defects. It is recommended that there are some conditions such as badly broken, eroded or immovable blockages that may prevent cleaning and inspection from being completed, or where additional sewer line damage would occur if further cleaning is attempted or continued. Should conditions of this nature be encountered, the Contractor shall notify the Engineer immediately with full and accurate details of the problematic section. The Engineer shall then determine an appropriate course of action for that section.
- 5. The Contractor shall take care to ensure that the cleaning process in one line segment does not affect or inhibit the flow in a downstream line segment. Passing material from one section to another section which could cause line stoppages, blockages, or debris accumulations shall not be permitted.
 - The Contractor shall remove all sludge, dirt, sand, rocks, grease, roots, broken tile or other material that may accumulate during the cleaning process. This material shall be trapped at the downstream manhole then physically removed from the structure.
 - All solids or semisolids removed from the structure shall be removed from the limits of the right of way and disposed of properly by the Contractor according to the fifth paragraph of Article 202.03 of the Standard Specifications for Road and Bridge Construction.

Video Inspection

- 1. The intent of performing the video inspection is to:
 - Locate problematic sections of pipe and identify existing or potential structural deficiencies.
 - Pinpoint the cause, source and magnitude of any infiltration present.
 - Locate improper or illegal connections and sources of inflow.
 - Ascertain the applicability of various rehabilitation methods of any defects or irregularities found.
- 2. The mainline survey equipment shall be capable of:
 - Utilizing a pan and tilt camera head with a full three-hundred and sixty degree rotation capability.
 - Providing high quality color resolution for enhanced clarity and detail with zoom capabilities and recorded on standard VHS format.
 - Internal inspections in pipe segments with mainline diameters from six (6) inches to fifty-four (54) inches.
 - Self propulsion for up to one-thousand feet of mainline sewer pipe.
 - Launching a satellite camera from mainline access only and simultaneously inspect both, the mainline section and up to approximately seventy (70) feet of any adjacent lateral service line.
 - Precisely locating above the ground, any portion of the mainline section and up to approximately seventy (70) feet of any adjacent lateral service line.
 - Displaying mainline and lateral footages on the screen and on the video recorded documentation.
 - Providing audio commentary voice/dubbed for pipe line observations.
- 3. Mainline sections shall be televised from the upstream manhole to the downstream manhole which following the cleaning equipment through the pipe section. However, if there is significant blockage preventing the cleaning and video taping in this direction the operation shall be reversed. This inspection method shall be performed to achieve the following.
 - To ensure all sediment, debris, blockages, mineral deposits, grease, etc. is being removed from the mainlined pipe as intended.
 - To monitor the effects of the cleaning process on existing structures and/or structural deficiencies.
 - To create a vacuum and draw any remaining liquid down the pipe from existing flow or sagging pipe sections and enable a full visual inspection of the entire pipe section.
- 4. The inspection shall progress at an average rate of 15 feet per minute. This rate shall be maintained except when the following conditions are present.
 - It is necessary to reduce the speed of the operation to remove all sediment, debris, blockages, mineral deposits, grease etc. to enable a full visual inspection of the storm sewer.
 - It is necessary to reverse the direction of the operation due to collapsed pipe sections, blockages, or other conditions which prevent the progress of the video inspection equipment.
 - It is necessary to perform a number of detailed observations in a particular pipe section.

- 5. To provide a complete and accurate inspection report of each section the documentation shall be performed as follows:
 - Video tape recordings shall include audio commentary. A video log card identifying which inspections are on a given tape and where the footage can be located on the tape by means of the video counter column shall also accompany the video tape.
 - Pipe reports for each section shall identify all pertinent information for that particular section.
 - All defects and general observations will be identified using NASSCO (National Association of Sewer Service Companies) standardized observation codes.
 - Information which remains onscreen at all times shall be limited to the upstream and downstream drainage structure numbers and the live footage counter.
- 6. Accurate distance measurements shall be provided for the purpose of locating defects and general pipe observations. This measurement shall be provided with the survey equipment's internal cable counter. The equipment shall be capable of providing accurate distance measurement with a maximum variance of two percent. The measurement shall be started from the center of the manhole from which the inspection begins to the center of the manhole for which the inspection will end.
- 7. The site will be left clean and free of any debris that may be generated through the cleaning or inspection process and the property shall be returned to original condition.
- 8. Upon completion, the Contractor will deliver to the Engineer one copy of the videotape, video log card and the written report for each section. The Engineer will review the documentation and the site to determine that the scope of work is complete and the work is satisfactory.
- 9. Method of Measurement: Video inspection of storm sewer will be measured by payment from center to center of drainage structures in feet.
- 10. Basis of Payment: This work will be paid for at the contract unit bid price per foot for VIDEO INSPECTION OF STORM SEWER, which price shall include all labor, material, and equipment to perform the work as described herein.

FLAGGER VESTS (BDE)

Effective: April 1, 2003

Revise the first sentence of Article 701.04(c)(1) of the Standard Specifications to read:

"The flagger shall be stationed to the satisfaction of the Engineer and be equipped with a fluorescent orange, fluorescent yellow/green or a combination of fluorescent orange and fluorescent yellow/green vest meeting the requirements of the American National Standards Institute specification ANSI/ISEA 107-1999 for Conspicuity Class 2 garments and approved flagger traffic control signs conforming to Standard 702001 and Article 702.05(e)."

Revise Article 701.04(c)(6) of the Standard Specifications to read:

"(6) Nighttime Flagging. The flagger station shall be lit by additional overhead lighting other than streetlights. The flagger shall be equipped with a fluorescent orange or fluorescent orange and fluorescent yellow/green garment meeting the requirements of the American National Standards Institute specification ANSI/ISEA 107-1999 for Conspicuity Class 2 garments."

80101

FLUORESCENT ORANGE SHEETING ON DRUMS (BDE)

Effective: November 1, 2000 Revised: January 1, 2003

Revise the first sentence of the first paragraph of Article 702.03(e) of the Standard Specifications to read:

"Drums shall be nonmetallic and have alternating reflectorized Type AA or Type AP fluorescent orange and reflectorized white horizontal, circumferential stripes."

80025

FAS 301 (IL 113) D-3 Storm Sewer Maint 2003-1 Grundy County

Material allowances (BDE)

Effective: December 1, 2001

Revise the sixth paragraph of Article 109.07 of the Standard Specifications to read:

"In addition, payment may be made for materials prior to their use in the work. These material allowances may be paid at the discretion of the Department when satisfactory evidence is presented by the Contractor. Satisfactory evidence includes justification for the allowance (to expedite the work, meet project schedules, regional or national material shortages, etc.), documentation of material and transportation costs and evidence that such material is properly stored on the project or at a secure location acceptable and accessible to the Department. Material allowances will be considered only for nonperishable materials when the cost, including transportation, exceeds \$10,000 and such materials are not expected to be utilized within 60 days of the request for the allowance. For contracts valued under \$500,000, the minimum \$10,000 requirement may be met by combining the principal (material) product of no more than two contract items. An exception to this two item limitation may be considered for any contract regardless of value for items in which material (products) are similar except for type and/or size. Material allowances shall not exceed the value of the contract items in which used and shall not include the cost of installation or related markups. Amounts paid by the Department for material allowances will be deducted from estimates due the Contractor as the material is used. Twosided copies of the Contractor's cancelled checks for materials and transportation must be furnished to the Department within 60 days of payment of the allowances or the amounts will be reclaimed by the Department."

80068

PAYMENTS TO SUBCONTRACTORS (BDE)

Effective: June 1, 2000

Federal regulations found at 49 CFR §26.29 mandate the Department to establish a contract clause to require contractors to pay subcontractors for satisfactory performance of their subcontracts within a specific number of days after receipt of each payment made to the contractor, and to require the prompt return of retainage withheld from subcontractors.

State law addresses the timing of payments to be made to subcontractors. Section 7 of the Prompt Payment Act, 30 ILCS 540/7, generally requires that when a contractor receives any payment from the Department, the contractor is required to make corresponding, proportional payments to each subcontractor performing work within 15 calendar days after receipt of the state payment. Section 7 of the State Prompt Payment Act further provides that interest in the amount of 2% per month, in addition to the payment due, shall be paid to any subcontractor by the Contractor if the payment required by the Act is withheld or delayed without reasonable cause. The Act also provides that the time for payment required and the calculation of any interest due applies to transactions between subcontractors and lower-tier subcontractors throughout the contracting chain.

This Special Provision establishes the required federal contract clause, and adopts the 15 calendar day requirement of the Act for purposes of compliance with the federal regulation regarding payments to subcontractors. This contract is subject to the following payment obligations.

FAS 301 (IL 113) D-3 Storm Sewer Maint 2003-1 Grundy County

As partial payments are made to the Contractor in accordance with Article 109.07 of the Standard Specifications for Road and Bridge Construction, the Contractor shall make a corresponding partial payment within 15 calendar days to each subcontractor in proportion to the work satisfactorily completed by each subcontractor. The proportionate amount of partial payment due to each subcontractor shall be determined by the quantities measured or otherwise determined as eligible for payment by the Department and included in the partial payment to the Contractor. Subcontractors shall be paid in full, including the return of any retainage previously withheld, within 15 calendar days after the subcontractor's work has been satisfactorily completed.

This Special Provision does not create any rights in favor of any subcontractor against the State of Illinois or authorize any cause of action against the State of Illinois on account of any payment, nonpayment, delayed payment or interest claimed by application of the State Prompt Payment Act. The Department will neither determine the reasonableness of any cause for delay of payment nor enforce any claim to payment, including interest. Moreover, the Department will not approve any delay or postponement of the 15 day requirement. State law creates remedies available to any subcontractor or material supplier, regardless of tier, who has not been paid for work properly performed or material furnished. These remedies are a lien against public funds set forth in Section 23(c) of the Mechanics Lien Act, 770 ILCS 60/23(c), and a recovery on the Contractor's payment bond in accordance with the Public Construction Bond Act, 30 ILCS 550.

TRAFFIC CONTROL DEFICIENCY DEDUCTION (BDE)

Effective: April 1, 1992 Revised: January 1, 2003

To ensure a prompt response to incidents involving the integrity of work zone traffic control, the Contractor shall provide a telephone number where a responsible individual can be contacted 24 hours-a-day.

When the Engineer is notified, or determines a traffic control deficiency exists, he/she will notify and direct the Contractor to correct the deficiency within a specified time. The specified time, which begins upon notification to the Contractor, will be from 1/2 hour to 12 hours based upon the urgency of the situation and the nature of the deficiency. The Engineer shall be the sole judge.

The deficiency may be any lack of repair, maintenance or non-compliance with the traffic control plan.

If the Contractor fails to correct the deficiency within the specified time, a daily monetary deduction will be imposed for each calendar day or fraction thereof the deficiency exists. The calendar day(s) will begin with notification to the Contractor and end with the Engineer's acceptance of the correction. The daily monetary deduction will be either \$1,000 or 0.05 percent of the awarded contract value, whichever is greater.

In addition, if the Contractor fails to respond, the Engineer may correct the deficiency and the cost thereof will be deducted from monies due or which may become due the Contractor. This corrective action will in no way relieve the Contractor of his/her contractual requirements or responsibilities.

WORK ZONE TRAFFIC CONTROL DEVICES (BDE)

Effective: January 1, 2003 Revised: April 1, 2003

Add the following to Article 702.01 of the Standard Specifications:

"All devices and combinations of devices shall meet the requirements of the National Cooperative Highway Research Program (NCHRP) Report 350 for their respective categories. The categories are as follows:

Category 1 includes small, lightweight, channelizing and delineating devices that have been in common use for many years and are known to be crashworthy by crash testing of similar devices or years of demonstrable safe performance. These include cones, tubular markers, flexible delineators and plastic drums with no attachments. Category 1 devices shall be crash tested and accepted or may be self-certified by the manufacturer.

Category 2 includes devices that are not expected to produce significant vehicular velocity change but may otherwise be hazardous. These include drums and vertical panels with lights, barricades and portable sign supports. Category 2 devices shall be crash tested and accepted for Test Level 3.

Category 3 includes devices that are expected to cause significant velocity changes or other potentially harmful reactions to impacting vehicles. These include crash cushions, truck mounted attenuators and other devices not meeting the definitions of Category 1 or 2. Category 3 devices shall be crash tested and accepted for Test Level 3.

Category 4 includes portable or trailer-mounted devices such as arrow boards, changeable message signs, temporary traffic signals and area lighting supports. Currently, there is no implementation date set for this category and it is exempt from the NCHRP 350 compliance requirement.

The Contractor shall provide a manufacturer's self-certification letter for each Category 1 device and an FHWA acceptance letter for each Category 2 and Category 3 device used on the contract. The letters shall state the device meets the NCHRP 350 requirements for its respective category and test level, and shall include a detail drawing of the device."

Delete the third, fourth and fifth paragraphs of Article 702.03(b) of the Standard Specifications.

Delete the third sentence of the first paragraph of Article 702.03(c) of the Standard Specifications.

Delete the fourth paragraph of Article 702.05(a) of the Standard Specifications.

FAS 301 (IL 113) D-3 Storm Sewer Maint 2003-1 Grundy County

Revise the sixth paragraph of Article 702.05(a) of the Standard Specifications to read:

"When the work operations exceed four days, all signs shall be post mounted unless the signs are located on the pavement or define a moving or intermittent operation. When approved by the Engineer, a temporary sign stand may be used to support a sign at 1.2 m (5 ft) minimum where posts are impractical. Longitudinal dimensions shown on the plans for the placement of signs may be increased up to 30 m (100 ft) to avoid obstacles, hazards or to improve sight distance, when approved by the Engineer. "ROAD CONSTRUCTION AHEAD" signs will also be required on side roads located within the limits of the mainline "ROAD CONSTRUCTION AHEAD" signs."

Delete all references to "Type 1A barricades" and "wing barricades" throughout Section 702 of the Standard Specifications.

80097

WORKING DAYS (BDE)

Effective: January 1, 2002

The Contractor shall complete the work within 15 working days.

80071

ILLINOIS DEPARTMENT OF LABOR PREVAILING WAGES FOR GRUNDY COUNTY EFFECTIVE MAY 2003

These Prevailing rates of wages are included in this contract proposal which is subject to check Sheet #4 of the Supplemental Specifications and Recurring Special Provisions. The rates have been ascertained and certified by the Illinois Department of Labor for the locality in which the work is to be performed and for each craft or type of work or mechanic needed to execute the work of the contract. As required by the Prevailing Wage Act 820 (ILCS 130/0.01, et seq.) and Check Sheet #4 of this contract, not less than the rates of wages ascertained by the Illinois Department of Labor and as revised during the performance of the contract shall be paid to all laborers, workers and mechanics performing work under the contract. Post this scale of wages in a prominent and easily accessible place at the site of work.

If the Illinois Department of Labor revises the prevailing rates of wages to be paid as listed in this specification of rates, the contractor shall post the revised rates of wages and shall pay not less than the revised rates of wages. The contractor shall notify each of its subcontractors of the revised rates of wages.

Wage rate information can be obtained by visiting the Illinois Department of Labor web site at http://www.state.il.us/agency/idol or by calling (312) 793-2814.

Grundy County Prevailing Wage for May 2003

Trade Name		TYP (*M-F>8				Pensn	Vac	Trng
ASBESTOS ABT-GEN		ALL			28.750						0.000	
ASBESTOS ABT-MEC		BLD		23.300	24.800	1.5	1.5	2.0	3.640	5.520	0.000	0.000
BOILERMAKER		BLD		34.350	37.430	2.0	2.0	2.0	3.950	5.150	0.000	0.150
BRICK MASON		BLD			32.150						0.000	
CARPENTER		ALL			34.310						0.000	
CEMENT MASON		ALL			29.700						0.000	
CERAMIC TILE FNSHER		ALL		23.850	0.000						0.000	
COMMUNICATION TECH		BLD			26.050						0.000	
ELECTRIC PWR EQMT OP ELECTRIC PWR GRNDMAN		ALL ALL			37.800 37.800						0.000	
ELECTRIC PWR GRNDMAN ELECTRIC PWR LINEMAN		ALL			37.800						0.000	
ELECTRICIAN		BLD			34.560						0.000	
ELEVATOR CONSTRUCTOR		BLD			31.270		2.0				1.670	
GLAZIER		BLD			28.800		2.0				0.000	
HT/FROST INSULATOR		BLD			31.550						0.000	
IRON WORKER		ALL		28.000	29.000	2.0	2.0	2.0	4.440	11.92	0.000	0.500
LABORER		ALL		28.000	28.750	1.5	1.5	2.0	3.580	2.970	0.000	0.170
LATHER		ALL		31.190	34.310	1.5	1.5	2.0	4.600	4.400	0.000	0.440
MACHINIST		BLD		31.920	33.670		2.0	2.0	3.200	3.100	2.200	0.000
MARBLE FINISHERS		ALL		23.000	0.000						0.000	
MARBLE MASON		BLD			28.280						0.000	
MILLWRIGHT		ALL			34.310						0.000	
OPERATING ENGINEER					37.850		2.0				1.700	
OPERATING ENGINEER OPERATING ENGINEER					37.850 37.850						1.700 1.700	
OPERATING ENGINEER OPERATING ENGINEER					37.850						1.700	
OPERATING ENGINEER					36.050						1.700	
OPERATING ENGINEER					36.050						1.700	
OPERATING ENGINEER					36.050						1.700	
OPERATING ENGINEER		HWY 4	1	28.050	36.050	1.5	1.5				1.700	
OPERATING ENGINEER		HWY 5	5	26.850	36.050	1.5	1.5	2.0	5.400	4.250	1.700	0.500
PAINTER		ALL		29.850	31.710	1.5	1.5	2.0	4.300	4.300	0.000	0.340
PAINTER SIGNS		BLD			28.240		1.5				0.000	
PILEDRIVER		ALL			34.310						0.000	
PIPEFITTER		BLD			35.560						0.000	
PLASTERER	_	BLD			30.090						0.000	
PLUMBER	E	BLD			35.600 33.630						0.000	
PLUMBER ROOFER	M	BLD BLD			24.760						0.000	
SHEETMETAL WORKER		BLD			33.490						0.000	
SIGN HANGER		ALL			25.290						0.000	
SPRINKLER FITTER		BLD			34.050						0.000	
STONE MASON		BLD			32.150						0.000	
TELECOM WORKER		ALL			24.400		1.5	2.0	3.000	2.650	1.430	0.000
TERRAZZO MASON		BLD			29.000		1.5	2.0	4.500	6.350	0.000	0.160
TILE MASON		BLD			31.130						0.000	
TRUCK DRIVER					28.100						0.000	
TRUCK DRIVER					28.100						0.000	
TRUCK DRIVER					28.100						0.000	
TRUCK DRIVER			Ŧ		28.100						0.000	
TUCKPOINTER		BLD		30.850	31.850	1.5	1.5	∠.∪	3./60	4.900	0.000	0.390

Legend:

M-F>8 (Overtime is required for any hour greater than 8 worked each day, Monday through Friday.

OSA (Overtime is required for every hour worked on Saturday)

OSH (Overtime is required for every hour worked on Sunday and Holidays)

H/W (Health & Welfare Insurance)

Explanations

GRUNDY COUNTY

PLUMBERS & PIPEFITTERS (WEST) - That part of the county West of Rt. 47 excluding the City of Morris.

The following list is considered as those days for which holiday rates of wages for work performed apply: New Years Day, Memorial/Decoration Day, Fourth of July, Labor Day, Veterans Day, Thanksgiving Day, Christmas Day. Generally, any of these holidays which fall on a Sunday is celebrated on the following Monday. This then makes work performed on that Monday payable at the appropriate overtime rate for holiday pay. Common practice in a given local may alter certain days of celebration such as the day after Thanksgiving for Veterans Day. If in doubt, please check with IDOL.

EXPLANATION OF CLASSES

ASBESTOS - GENERAL - removal of asbestos material from any place in a building, including mechanical systems where those mechanical systems are to be removed. This includes the removal of asbestos materials from ductwork or pipes in a building when the building is to be demolished at the time or at some close future date.

ASBESTOS - MECHANICAL - removal of asbestos material from mechanical systems, such as pipes, ducts, and boilers, where the mechanical systems are to remain.

COMMUNICATIONS TECHNICIAN

Installation, operation, inspection, maintenance, repair and service of radio, television, recording, voice, sound and vision production and reproduction, telephone and telephone interconnect, facsimile, equipment and appliances used for domestic, commercial, educational and entertainment purposes, pulling of wire through conduit but not the installation of conduit.

TRUCK DRIVER - BUILDING, HEAVY AND HIGHWAY CONSTRUCTION Class 1. Two or three Axle Trucks. A-frame Truck when used for transportation purposes; Air Compressors and Welding Machines, including those pulled by cars, pick-up trucks and tractors; Ambulances; Batch Gate Lockers; Batch Hopperman; Car and Truck Washers; Carry-alls; Fork Lifts and Hoisters; Helpers; Mechanics Helpers and Greasers; Oil Distributors 2-man operation; Pavement Breakers; Pole Trailer, up to 40 feet; Power Mower Tractors; Self-propelled Chip Spreader; Skipman; Slurry Trucks, 2-man operation; Slurry Truck Conveyor Operation, 2 or 3 man; Teamsters Unskilled dumpman; and Truck Drivers hauling warning lights, barricades, and portable toilets on the job site.

Class 2. Four axle trucks; Dump Crets and Adgetors under 7 yards; Dumpsters, Track Trucks, Euclids, Hug Bottom Dump Turnapulls or Turnatrailers when pulling other than self-loading equipment or similar equipment under 16 cubic yards; Mixer Trucks under 7 yards; Ready-mix Plant Hopper Operator, and Winch Trucks, 2 Axles.

Class 3. Five axle trucks; Dump Crets and Adgetors 7 yards and over; Dumpsters, Track Trucks, Euclids, Hug Bottom Dump Turnatrailers or

turnapulls when pulling other than self-loading equipment or similar equipment over 16 cubic yards; Explosives and/or Fission Material Trucks; Mixer Trucks 7 yards or over; Mobile Cranes while in transit; Oil Distributors, 1-man operation; Pole Trailer, over 40 feet; Pole and Expandable Trailers hauling material over 50 feet long; Slurry trucks, 1-man operation; Winch trucks, 3 axles or more; Mechanic--Truck Welder and Truck Painter.

Class 4. Six axle trucks; Dual-purpose vehicles, such as mounted crane trucks with hoist and accessories; Foreman; Master Mechanic; Self-loading equipment like P.B. and trucks with scoops on the front.

OPERATING ENGINEERS - BUILDING

- Class 1. Mechanic; Asphalt Plant; Asphalt Spreader; Autograde; Backhoes with Caisson attachment; Batch Plant; Benoto; Boiler and Throttle Valve; Caisson Rigs; Central Redi-Mix Plant; Combination Back Hoe Front End-loader Machine; Compressor and Throttle Valve; Concrete Breaker (Truck Mounted); Concrete Conveyor; Concrete Paver; Concrete Placer; Concrete Placing Boom; Concrete Pump (Truck Mounted); Concrete Tower; Cranes, All; Cranes, Hammerhead; Cranes, (GCI and similar Type); Creter Crane; Crusher, Stone, etc.; Derricks, All; Derricks, Traveling; Formless Curb and Gutter Machine; Grader, Elevating; Grouting Machines; Highlift Shovels or Front Endloader 2-1/4 yd. and over; Hoists, Elevators, outside type rack and pinion and similar machines; Hoists, one, two and three Drum; Hoists, Two Tugger One Floor; Hydraulic Backhoes; Hydraulic Boom Trucks; Hydro Vac (and similar equipment); Locomotives, All; Motor Patrol; Pile Drivers and Skid Rig; Post Hole Digger; Pre-Stress Machine; Pump Cretes Dual Ram; Pump Cretes; Squeeze Cretes-screw Type Pumps; Raised and Blind Hole Drill; Roto Mill Grinder; Scoops - Tractor Drawn; Slip-form Paver; Straddle Buggies; Tournapull; Tractor with Boom and Side Boom; Trenching Machines.
- Class 2. Bobcat (over 3/4 cu. yd.); Boilers; Brick Forklift; Broom, All Power Propelled; Bulldozers; Concrete Mixer (Two Bag and Over); Conveyor, Portable; Forklift Trucks; Greaser Engineer; Highlift Shovels or Front Endloaders under 2-1/4 yd.; Hoists, Automatic; Hoists, inside Freight Elevators; Hoists, Sewer Dragging Machine; Hoists, Tugger Single Drum; Laser Screed; Rock Drill (self-propelled); Rock Drill (truck mounted); Rollers, All; Steam Generators; Tractors, All; Tractor Drawn Vibratory Roller; Winch Trucks with "A" Frame.
- Class 3. Air Compressor; Combination Small Equipment Operator; Generators; Heaters, Mechanical; Hoists, Inside Elevators (Rheostat Manual Controlled); Hydraulic Power Units (Pile Driving, Extracting, and Drilling); Pumps, over 3" (1 to 3 not to exceed a total of 300 ft.); Pumps, Well Points; Welding Machines (2 through 5); Winches, 4 small Electric Drill Winches; Bobcat (up to and including 3/4 cu.yd.).
- Class 4. Bobcats and/or other Skid Steer Loaders; Oilers; and Brick Forklift.

OPERATING ENGINEERS - HEAVY AND HIGHWAY CONSTRUCTION

Class 1. Craft Foreman; Asphalt Plant; Asphalt Heater and Planer

Combination; Asphalt Heater Scarfire; Asphalt Spreader;

Autograder/GOMACO or other similar type machines; ABG Paver; Backhoes with Caisson attachment; Ballast Regulator; Belt Loader; Caisson Rigs;

Car Dumper; Central Redi-Mix Plant; Combination Backhoe Front

Endloader Machine, (1 cu. yd. Backhoe Bucket or over or with attachments); Concrete Breaker (Truck Mounted): Concrete Conveyor;

Concrete Paver over 27E cu. ft.; Concrete Placer; Concrete Tube Float; Cranes, all attachments; Cranes, Hammerhead, Linden, Peco & Machines of a like nature; Crete Crane; Crusher, Stone, etc.; Derricks, All; Derrick Boats; Derricks, Traveling; Dowell machine with Air Compressor; Dredges; Field Mechanic-Welder; Formless Curb and Gutter Machine; Gradall and Machines of a like nature; Grader, Elevating; Grader, Motor Grader, Motor Patrol, Auto Patrol, Form Grader, Pull Grader, Subgrader; Guard Rail Post Driver Mounted; Hoists, One, Two and Three Drum; Hydraulic Backhoes; Backhoes with shear attachments; Mucking Machine; Pile Drivers and Skid Rig; Pre-Stress Machine; Pump Cretes Dual Ram; Rock Drill - Crawler or Skid Rig; Rock Drill - Truck Mounted; Roto Mill Grinder; Slip-Form Paver; Soil Test Drill Rig (Truck Mounted); Straddle Buggies; Hydraulic Telescoping Form (Tunnel); Tractor Drawn Belt Loader (with attached pusher - two engineers); Tractor with Boom; Tractaire with Attachments; Trenching Machine; Truck Mounted Concrete Pump with Boom; Raised or Blind Hole; Drills (Tunnel Shaft); Underground Boring and/or Mining Machines; Wheel Excavator; Widener (APSCO).

Class 2. Batch Plant; Bituminous Mixer; Boiler and Throttle Valve; Bulldozers; Car Loader Trailing Conveyors; Combination Backhoe Front Endloader Machine (less than 1 cu. yd. Backhoe Bucket or over or with attachments); Compressor and Throttle Valve; Compressor, Common Receiver (3); Concrete Breaker or Hydro Hammer; Concrete Grinding Machine; Concrete Mixer or Paver 7S Series to and including 27 cu. ft.; Concrete Spreader; Concrete Curing Machine, Burlap Machine, Belting Machine and Sealing Machine; Concrete Wheel Saw; Conveyor Muck Cars (Haglund or Similar Type); Drills, All; Finishing Machine -Concrete; Greaser Engineer; Highlift Shovels or Front Endloader; Hoist - Sewer Dragging Machine; Hydraulic Boom Trucks (All Attachments); Hydro-Blaster; All Locomotives, Dinky; Pump Cretes; Squeeze Cretes-Screw Type Pumps, Gypsum Bulker and Pump; Roller, Asphalt; Rotory Snow Plows; Rototiller, Seaman, etc., self-propelled; Scoops - Tractor Drawn; Self-Propelled Compactor; Spreader - Chip -Stone, etc.; Scraper; Scraper - Prime Mover in Tandem (Regardless of Size); Tank Car Heater; Tractors, Push, Pulling Sheeps Foot, Disc, Compactor, etc.; Tug Boats.

Class 3. Boilers; Brooms, All Power Propelled; Cement Supply Tender; Compressor, Common Receiver (2); Concrete Mixer (Two Bag and Over); Conveyor, Portable; Farm-Type Tractors Used for Mowing, Seeding, etc.; Fireman on Boilers; Forklift Trucks; Grouting Machine; Hoists, Automatic; Hoists, All Elevators; Hoists, Tugger Single Drum; Jeep Diggers; Pipe Jacking Machines; Post-Hole Digger; Power Saw, Concrete Power Driven; Pug Mills; Rollers, other than asphalt; Seed and Straw Blower; Steam Generators; Stump Machine; Winch Trucks with "A" Frame; Work Boats; Tamper - Form-Motor Driven.

Class 4. Air Compressor; Combination - Small Equipment Operator; Directional Boring Machine; Generators; Heaters, Mechanical; Hydraulic Power Unit (Pile Driving, Extracting, or Drilling); Hydro-Blaster; Light Plants, All (1 through 5); Pumps, over 3" (1 to 3 not to exceed a total of 300 ft.); Pumps, Well Points; Tractaire; Welding Machines (2 through 5); Winches, 4 Small Electric Drill Winches.

Class 5. Bobcats (all); Brick Forklifts; Oilers.

Other Classifications of Work:

For definitions of classifications not otherwise set out, the Department generally has on file such definitions which are available. If a task to be performed is not subject to one of the classifications of pay set out, the Department will upon being contacted state which

neighboring county has such a classification and provide such rate, such rate being deemed to exist by reference in this document. If no neighboring county rate applies to the task, the Department shall undertake a special determination, such special determination being then deemed to have existed under this determination. If a project requires these, or any classification not listed, please contact IDOL at 618/993-7271 for wage rates or clarifications.

LANDSCAPING

Landscaping work falls under the existing classifications for laborer, operating engineer and truck driver. The work performed by landscape plantsman and landscape laborer is covered by the existing classification of laborer. The work performed by landscape operators (regardless of equipment used or its size) is covered by the classifications of operating engineer. The work performed by landscape truck drivers (regardless of size of truck driven) is covered by the classifications of truck driver.